

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor : Yumin Tao
Application Number : 1288R
Filing Date : September 30, 2003
Title : Transcriptional Regulatory Nucleic Acids,
Polypeptides and Methods of Use Thereof
Group/Art Unit :
Examiner :
Attorney Docket Number : 1288R

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

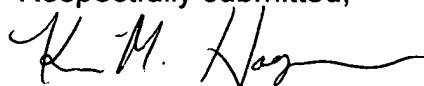
**FILING OF AN INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97**

Attached is a list of documents on form PTO-1449.

It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 CFR §1.97 and Section 609 of the MPEP. By submitting the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead submitting the listed documents for the sake of full disclosure.

All items are attached except those that were supplied in parent Application No. 10/005,057, filed December 4, 2001. Since the benefit of this application was claimed under 35 USC 120, no copies need to be furnished in accordance with 37 CFR §1.98(d); however, copies will be furnished on request.

Respectfully submitted,



Kim M. Hagemann
Agent for Applicant(s)
Registration No. 52,982

PIONEER HI-BRED INTERNATIONAL, INC.
Corporate Intellectual Property
7100 N.W. 62nd Avenue
P.O. Box 1000
Johnston, Iowa 50131-1000
Phone: (515) 248-4878
Facsimile: (515) 334-6883

Substitute for form 1449A/PTO

(Use as many sheets as necessary)

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Complete if Known

Application Number

Filing Date

September 30, 2003

First Named Inventor

Yumin Tao

Art Unit

Examiner Name

Attorney Docket Number

1288R

[illegible][illegible]

**Examiner
Signature**

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	
Filing Date	September 30, 2003
First Named Inventor	Yumin Tao
Art Unit	
Examiner Name	
Attorney Docket Number	1288R

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	A3	OGAS, JOE et al., PICKLE is a CHD3 chromatin-remodeling factor that regulates the transition from embryonic to vegetative development in Arabidopsis, Proc. Natl. Acad. Sci. USA, November 1999, 96(24):13839-13844	
	A4	ESHED, YUVAL et al., Distinct Mechanisms Promote Polarity Establishment in Carpels of Arabidopsis, Cell, October 1999, 99:199-209	
	A5	ESHED, Y. et al, Chromatin remodeling factor CHD3, Accession No. Q9S775, May 2000	
	A6	DELMAS, VERONIQUE et al., A mammalian DNA-binding protein that contains a chromodomain and an SNF2/SW12-like helicase domain, Proc. Natl. Acad. Sci. USA, March 1993, 90:2414-2418	
	A7	JIN, Y.H. et al., Isolation and characterization of hrp1+, a new member of the SNF2/SW12 gene family from the fission yeast Schizosaccharomyces pombe, Mol. Gen. Genet., 1998, 257:319-329	
	A8	OGAS, JOE et al., Cellular Differentiation Regulated by Gibberellin in the Arabidopsis thaliana pickle Mutant, Science, July 1997, 277:91-94	
	A9	STOKES, DAVID et al., CHD1 is concentrated in interbands and puffed regions of Drosophila polytene chromosomes, Proc. Natl. Acad. Sci. USA, July 1996, 93:7137-7142	
	A10	WOODAGE, TREVOR et al., Characterization of the CHD family of proteins, Proc. Natl., Acad. Sci. USA, October 1997, 94:11472-11477	
	A11	DOERKS, TOBIAS et al., Protein annotation: detective work for function prediction, Trends in Genetics, June 1998, 14(6):248-250	
	A12	GenBank Accession No. AW147065, mixed adult tissues from Walbot Lab (SK) Zea mays cDNA, mRNA sequence, November 1999	

Examiner
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Considered

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